



CLIENT'S QUESTIONNAIRE

F (N)

Dear Client,
According to norms of the law "Prevention of Laundering of Proceeds Derived from Criminal Activity", recommendations of the Financial and Capital Market Commission and Association of Latvian Commercial Banks, which are developed in accordance with the international banking standards, it is necessary for the Bank to have a good knowledge about the Clients' business as well as to ascertain the Clients' actual beneficiaries. The Bank asks you to fill in the CLIENT'S QUESTIONNAIRE and the corresponding appendices.

The Bank guarantees that the Clients' personal data, data on the Clients' accounts, deposits and deeds will be kept secret.

Please FILL IN in black or blue ink, in block letters, and MARK by "X", where it is necessary.

Thank you for understanding!

Client's ID

(To be filled in by Bank!)

1. INFORMATION ABOUT THE CLIENT

1.1. Name, surname: _____

1.2. Citizenship: _____ 1.3. Date of birth: DD/MM/YYYY

1.4. Actual address (street, house No, city, country): _____

1.4.1. Correspondence address (if it differs from actual address): _____

1.5. Telephone: _____ 1.6. Fax: _____

1.7. E-mail: _____

1.8. Person for contacts (name, surname): _____

1.8.1. Additional person's telephone: _____ 1.8.2. E-mail: _____

1.9. All transactions carried out with the assets from the accounts will be performed by the Client: 1.9.1. Yes 1.9.2. No Fill in 'Statement About Actual Beneficiary'!

1.10. I am the actual owner of the accounts funds: 1.10.1. Yes 1.10.2. No Fill in 'Statement About Actual Beneficiary'!

Remark: Actual beneficiary is a physical person in whose interests a transaction is made.

2. INFORMATION ABOUT SOURCE AND AMOUNT OF INCOME

2.1. paid employment (specify place of work and position): _____

2.2. self-employment registered (specify type): _____

2.3. income from personal property (specify type): _____

2.4. income from transactions with real estate (specify type): _____

2.5. dividends and interest (specify type): _____

2.6. other (specify type): _____

2.7. Your average income per annum (EUR):

2.7.1. ≤50 000 2.7.2. 50 000 – 100 000 2.7.3. 100 000 – 500 000 2.7.4. >500 000

3. INFORMATION ABOUT OPERATIONS ON THE ACCOUNTS

3.1. Which products / services of the Bank do you plan to use (XX):

3.1.1. settlement accounts 3.1.2. payment cards 3.1.3. remote payments

3.1.4. cheques 3.1.5. credits / leasing 3.1.6. deposits

3.1.7. currency market operations (conversion and transfers) 3.1.8. investments and trade of financial instruments

3.1.9. other (specify): _____

3.2. Information about incoming payments: 3.3. Type of payments: 3.3.1. regular 3.3.2. irregular

3.4. salary 3.5. income from personal property 3.6. dividends and interest

3.7. others (specify): _____

3.8. Describe, how payment will be used on the account: _____

Client's signature: _____

3.9. From which countries payments will be received (XX):

3.9.1. from countries of the EU, Iceland, Liechtenstein, Norway, Switzerland (specify): _____
_____3.9.2. from other countries (specify): _____
_____3.10. Information about outgoing payments: 3.11. Type of payments: 3.11.1. regular 3.11.2. irregular3.12. household expenses 3.13. loan repayment 3.14. granting a loan 3.15. investments / operations with securities

3.16. Other (specify): _____

3.17. To which countries payments will be made (XX):

3.17.1. to countries of the EU, Iceland, Liechtenstein, Norway, Switzerland (specify): _____
_____3.17.2. to other countries (specify): _____

3.18. Planned monthly transactions on accounts (EUR):

3.18.1. Type of transaction	3.18.2. Number per month	3.18.3. Average monthly turnover
3.18.4. Incoming transfers		
3.18.5. Cash contributions		
3.18.6. Outgoing transfers		
3.18.7. Cash withdrawal		
3.18.8. Payments by card for goods and services		
3.18.9. Withdrawing cash by card		

3.19. Planned maximum volume of one transaction:

3.19.1. for transfers, including by card: _____ (EUR)

3.19.2. in cash: _____ (EUR)

4. OTHER INFORMATION

4.1. Do you political exposed person – foreign physical person (leader of the State, government leader, minister, chairman of supreme, constitutional court, parliament's deputy or are you a family member of such person, (spouse, parents, brothers, sisters and children)? Yes No4.2. Do you have accounts in some other bank: No Yes (specify where): _____
_____4.3. Why have you chosen SC "Parex banka" in Latvia: 4.3.1. favourable tariff 4.3.2. recommendation of partners/friends4.3.3. Bank's reputation 4.3.4. advantageous work condition 4.3.5. other _____

In accordance with article 195.¹ of the Criminal Code of the Republic of Latvia the person who intentionally renders untrue information to the Bank, which is authorized by the law to request information about a real owner or actual beneficiary of transaction and funds involved or other property, can be brought to criminal responsibility.

Hereby I confirm that all information rendered in this Questionnaire is true and full. I undertake to notify the Bank in writing immediately about any essential changes in the mentioned information.

Date: DD/MM/YYYY

Client's signature: _____

5.1. Fill in if the Questionnaire will be send to the Bank by fax:
(Only for the Bank's client!)

Account No:	_____
Digipass No:	_____
Key 1: K	--
Key 2:	-----

I, _____, the Bank's employee (Bank's authorized person) has accepted the Questionnaire.
(Name, Surname)

Date: DD/MM/YYYY

Signature, stamp: _____